


# Chemistry of ruthenium as an electrode for metal–insulator–metal capacitor application

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Received 9 September 2020, revised 1 October 2020

Accepted for publication 8 October 2020

Published 28 October 2020



CrossMark

## Abstract

Notwithstanding its excellent properties such as high work function and low resistance, Ru has not been widely applied in the preparation of electrodes for various electronic devices. This is because of the occurrence of severe morphological degradation in the actual devices employing Ru. Herein, we investigated Ru chemistry for electrode application and the degradation mechanism of Ru during subsequent processes such as thin film deposition or thermal annealing. We revealed that subsurface oxygen induces Ru degradation owing to the alteration of Ru chemistry by the pretreatment under various gas ambient conditions and due to the growth behavior of TiO<sub>2</sub> deposited via atomic layer deposition (ALD). The degradation of Ru is successfully ameliorated by conducting an appropriate pretreatment prior to ALD. The TiO<sub>2</sub> thin film deposited on the pretreated Ru electrode exhibited a rutile-phased crystal structure and smooth surface morphology, thereby resulting in excellent electrical properties. This paper presents an important development in the application of Ru as the electrode that can facilitate the development of various next-generation electronic devices.

Supplementary material for this article is available [online](#)

Keywords: ruthenium, ruthenium oxide, subsurface oxygen, metal–insulator–metal capacitor, electrode, surface morphology, electrical property

(Some figures may appear in colour only in the online journal)

## 1. Introduction

In semiconductor devices, the metal–insulator–metal (MIM) systems have been widely employed as a selector, capacitor, or switching component [1–8]. Depending on the role of the MIM system, various methods to control its electrical properties, such as capacitance and leakage current, have been investigated [9–12]. Among them, the use of high dielectric constant (*k*) materials, such as Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, HfO<sub>2</sub>, and TiO<sub>2</sub>, as an insulator has been studied to increase the capacitance for

MIM capacitors [13–16]. There are several requirements involved in employing the high-*k* materials as an MIM capacitor dielectric, such as a high dielectric constant and a low leakage current [14, 17]. Among the various high-*k* materials, the ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub> (ZAZ) structure [18–20] successfully satisfies these requirements; thus, it has been widely employed as an MIM capacitor dielectric for over a decade. However, the relatively lower *k* value of the ZAZ structure (<30) limits its further application for next-generation semiconductor devices with a design rule of <1x nm. Consequently, high-*k* materials, such as TiO<sub>2</sub> [21–25] and SrTiO<sub>3</sub> [26–31], have attracted considerable attention

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however, they inherently exhibit a poor leakage current characteristics because of their small bandgap, which results in generally low band offsets with metal electrodes [16, 23]. Moreover, TiO<sub>2</sub> has several crystal structures, such as anatase, rutile, and brookite phases. Among them, the rutile phase is the most favorable structure because its  $k$  is  $\sim 100$ . However, it is difficult to acquire the rutile phase under low-temperature processing conditions [21, 32, 33]. Meanwhile, TiN has been employed as a metal electrode in various semiconductor devices. However, because of its relatively low work function of  $\sim 4.5$  eV, it serves an ineffective electrode for devices based on higher- $k$  materials as a low work function generally induces a small conduction band offset, thereby resulting in a high leakage current. Furthermore, the TiN electrode easily reduces an adjacent high- $k$  dielectric, thus inducing the formation of various types of defects in high- $k$  dielectrics [7, 34]. This undesirable TiN electrode reaction and presence of high- $k$  dielectric might crucially aggravate the electrical properties of MIM capacitors.

To overcome these technical issues, Ru has been introduced as a promising electrode material for MIM capacitors with TiO<sub>2</sub>-based high- $k$  dielectrics [35–37]. Notably, Ru has a relatively higher work function of  $\sim 4.7$  eV, and it can be further increased to  $\sim 5.1$  eV when oxidized to RuO<sub>2</sub>, which can also be utilized as an electrode because of its electrically conductive property and resistivity of  $\sim 46 \mu\Omega \cdot \text{cm}$  [38–43]. In addition, Ru plays a crucial role toward obtaining the rutile phase of TiO<sub>2</sub> by deriving a certain epitaxial-like growth from a near-identical lattice parameter of RuO<sub>2</sub> and rutile-phased TiO<sub>2</sub> [35, 40]. Therefore, employing the Ru electrode is inevitable to demonstrate MIM capacitors for next-generation semiconductor devices by adapting the rutile-phased TiO<sub>2</sub> as the capacitor dielectric. However, other technical problems have ensued from the lower oxide formation energy of Ru than that of other dielectrics. Firstly, this comparatively minimal oxide formation energy of Ru induces the development of various oxides such as RuO<sub>2</sub>, RuO<sub>3</sub>, or RuO<sub>4</sub>, which typically complicates the evaluation of the deposition process for the stable stoichiometric oxide of RuO<sub>2</sub> [43]. Moreover, the low redox resistance of Ru causes severe morphological degradation during subsequent oxide deposition processes [44]. Consequently, employing Ru as an electrode for MIM capacitors has been tentative; therefore, the utilization of the rutile-phased TiO<sub>2</sub> thin film for next-generation semiconductor applications has been hampered.

In this work, Ru chemistry is comprehensively investigated to verify the applicability of Ru as a metal electrode for MIM capacitors using a rutile-phased TiO<sub>2</sub> thin film. The mechanism of the morphological degradation of Ru during subsequent deposition processes is also established. Based on the revealed morphological degradation mechanism, the pretreatment process of the Ru electrode was investigated. Furthermore, the growth behavior and electrical properties of the TiO<sub>2</sub> thin film were evaluated. Finally, the MIM capacitor that consisted of Ru and TiO<sub>2</sub> as the electrode and insulator, respectively, was demonstrated for next-generation semiconductor applications.

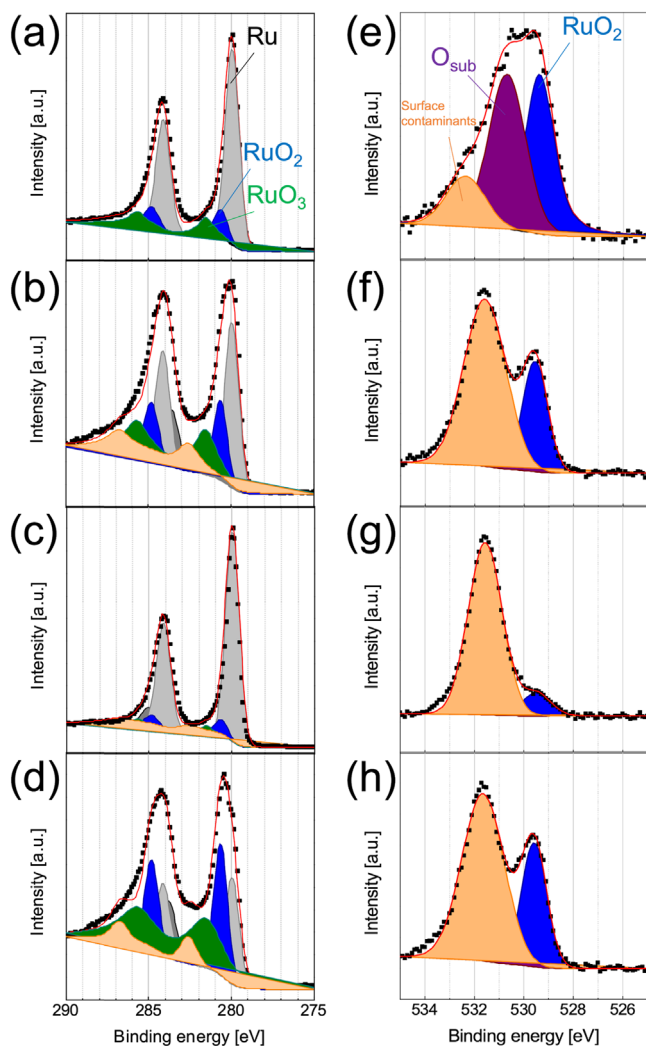
## 2. Experimental procedure

Here, 30 nm thick Ru films were deposited onto 100 nm thick SiO<sub>2</sub>/Si substrates via DC magnetron sputtering in an ultra-high vacuum setup. A thermal annealing process on the Ru substrates, called ‘pretreatment’, was performed prior to the TiO<sub>2</sub> thin film deposition by using a tube furnace at 300 °C for 30 m with varied gas ambient of 99.999% N<sub>2</sub>, 95% N<sub>2</sub> + 5% H<sub>2</sub> (N<sub>2</sub> + H<sub>2</sub>), and 95% N<sub>2</sub> + 5% O<sub>2</sub> (N<sub>2</sub> + O<sub>2</sub>). Thereafter, the TiO<sub>2</sub> thin films were deposited via atomic layer deposition (ALD) using Ti(OC<sub>3</sub>H<sub>7</sub>)<sub>4</sub> (titanium tetraisopropoxide, TTIP) and O<sub>3</sub> (200 g · m<sup>-3</sup>) as the Ti precursor and oxygen source, respectively; the sequence consisted of TTIP feeding, Ar purge, O<sub>3</sub> feeding, and Ar purge steps of 0.5, 10, 1, and 10 s, respectively, at a growth temperature of 250 °C. The modified TiO<sub>2</sub> ALD sequences were also conducted under very similar processing conditions of time, thermal budget, and chamber pressure as that of the normal TiO<sub>2</sub> ALD; the Ti-precursor-only sequence, which consisted of TTIP feeding, Ar purge, Ar purge (instead of O<sub>3</sub>), and Ar purge steps of 0.5, 10, 1, and 10 s, respectively, or the O<sub>3</sub>-only sequence, which comprised Ar purge (instead of Ti precursor), Ar purge, O<sub>3</sub> feeding, and Ar purge steps of 0.5, 10, 1, and 10 s, respectively. To measure the electrical properties, an MIM capacitor was fabricated with a top electrode (TE), which consisted of a 30 nm thick RuO<sub>2</sub> and a 50 nm thick Pt film that were deposited via reactive and DC sputtering, respectively, defined by a metal shadow mask with a 300 mm diameter hole. After the TE deposition, post-metallization annealing was performed at 400 °C for 30 m under N<sub>2</sub> + O<sub>2</sub> ambient conditions.

The thickness of the TiO<sub>2</sub> films was determined by calculations from the layer density that was measured via x-ray fluorescence spectroscopy (Thermo scientific, ARL Quant’X), which correlated with the transmission electron microscopy (TEM, Tecnai Osiris, FEI) measurements. The chemistries of the Ru substrate and TiO<sub>2</sub> thin films were analyzed via x-ray photoelectron spectroscopy (XPS, VG, Sigma Probe). Glancing angle incident x-ray diffraction (GA-XRD, PANalytical, X’pert Pro) was used to examine the crystal structure of the films with an incident angle of 0.5°. The surface morphology and roughness were observed via atomic force microscopy (AFM, AVT8080, Pucotech), while the electrical properties were evaluated by measuring the capacitance–voltage and the current–voltage using Agilent 4284 and 4156C, respectively.

## 3. Results and discussion

Firstly, the chemical states of the Ru substrates with respect to the ambient conditions of the pretreatment process were examined via XPS (figure 1). Figures 1(a)–(d) show the Ru 3d XPS spectra (data points) of the pristine Ru substrate (Ru<sub>pristine</sub>) as well as Ru substrates with pretreatment in N<sub>2</sub> (Ru-N), N<sub>2</sub> + H<sub>2</sub> (Ru-H), and N<sub>2</sub> + O<sub>2</sub> (Ru-O). The same figures also show the deconvoluted spectra (lines) assuming that the Ru 3d peaks are composed of Ru 3d<sub>5/2</sub> peaks centered at the 280.0, 280.8, and 281.8 eV binding energies



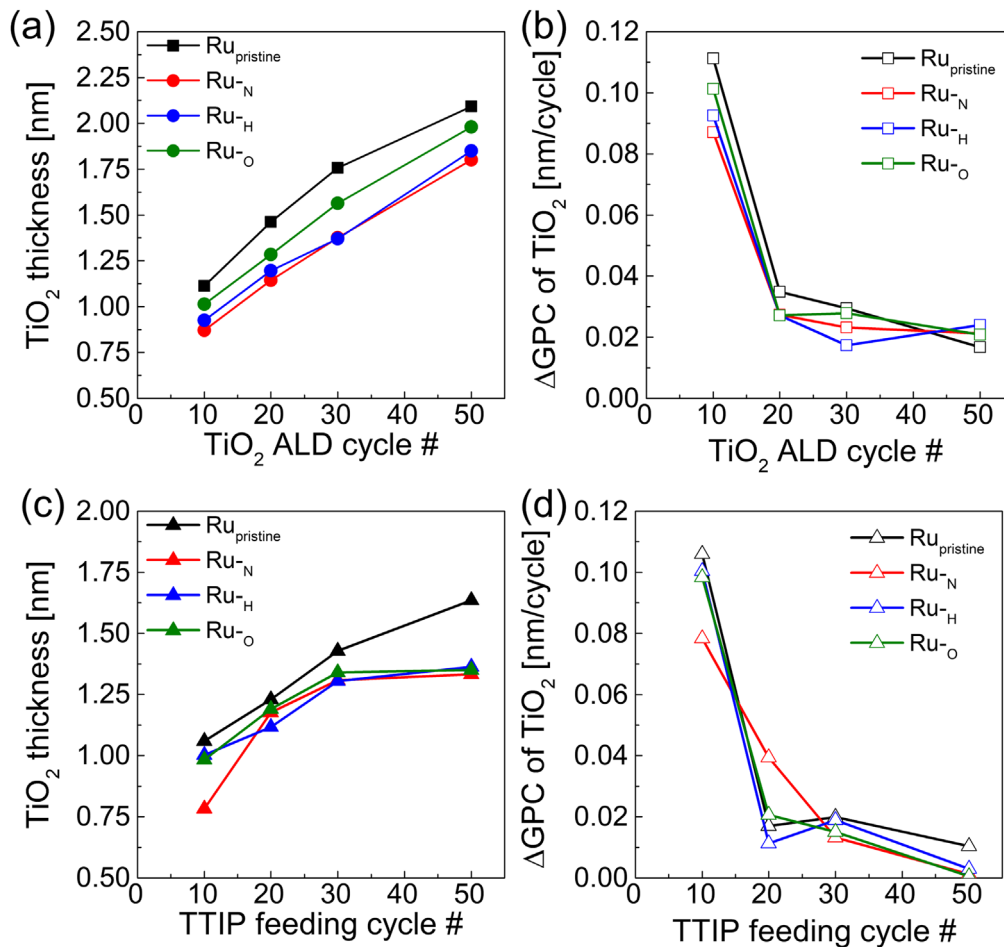
**Figure 1.** Peak fits of (a)–(d) Ru 3d and (e)–(h) O 1s spectra of (a), (e) Ru<sub>pristine</sub>, (b), (f) Ru-N, (c), (g) Ru-H, and (d), (h) Ru-O. In Ru 3d spectra, left-side peaks are originated from 3d<sub>3/2</sub>. Peak deconvolution was conducted with 3d<sub>5/2</sub> spectra (right-side peaks).

(BEs), which correspond to metallic Ru, RuO<sub>2</sub>, and RuO<sub>3</sub>, respectively [37]. The Ru 3d spectrum of Ru<sub>pristine</sub> reveals that the latter consists of metal Ru components with a small RuO<sub>2</sub> component contribution, which is reduced by employing the pretreatment under N<sub>2</sub> + H<sub>2</sub> ambient conditions (figure 1(c)). Conversely, the RuO<sub>2</sub> contribution is increased when pretreated under N<sub>2</sub> and N<sub>2</sub> + O<sub>2</sub> ambient conditions (figures 1(b) and (d)), implying that both pretreatment processes induce the oxidation of the Ru substrate to RuO<sub>2</sub> under N<sub>2</sub> and N<sub>2</sub> + O<sub>2</sub> ambient conditions. It should be emphasized that even under the N<sub>2</sub> ambient condition, Ru substrate oxidation can be induced. To clarify this behavior, the O 1s spectra of Ru substrates were examined. The O 1s spectra (figures 1(e)–(h)) were also deconvoluted, wherein the peaks located at the 529.5, 530.7, and ~532 eV BEs corresponded to the oxygen in the bulk RuO<sub>2</sub>, the surface-adsorbed or subsurface oxygen (O<sub>sub</sub>) [45, 46], and surface contamination, such as water or hydrocarbons [47], respectively. Among the Ru substrates, a significant contribution from the peak at 530.7 eV is only observed in the O 1s spectra from Ru<sub>pristine</sub>,

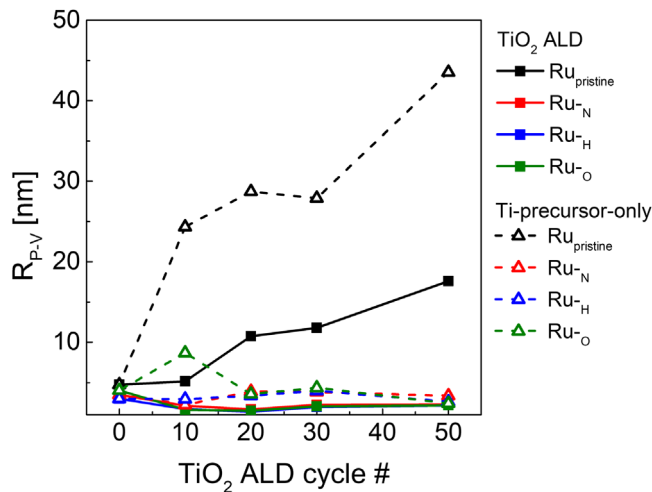
thereby indicating that only Ru<sub>pristine</sub> has a considerable amount of O<sub>sub</sub>, whose concentration in the substrate is reduced by conducting the pretreatment under any ambient condition. By conducting the pretreatment under the N<sub>2</sub> + H<sub>2</sub> ambient condition, O<sub>sub</sub> would be eliminated by the reduction atmosphere. Conversely, decreasing the peak from O<sub>sub</sub> in the Ru substrate after conducting Ru-O and Ru-N is also observed, even though these are not reducing atmospheres. Moreover, the Ru 3d and O 1s spectra from Ru-O and Ru-N indicate the formation of RuO<sub>2</sub>. Notably, the intensity of the peaks corresponding to RuO<sub>2</sub> in the Ru 3d and O 1s spectra was increased in Ru-N and Ru-O (figure S1 is available online at [stacks.iop.org/NANO/32/045201/mmedia](https://stacks.iop.org/NANO/32/045201/mmedia) in the supplemental material). For Ru-O, the oxidizing atmosphere induces the formation of RuO<sub>2</sub>, as shown from the highest RuO<sub>2</sub> peak contribution in the Ru 3d spectrum in figure 1(d), implying that the reduction of the peak from O<sub>sub</sub> would be caused by the screening effect from the formation of RuO<sub>2</sub> on the surface of the Ru substrate or by decreasing the consumption of O<sub>sub</sub> during the formation of RuO<sub>2</sub> by the contribution of O<sub>sub</sub> as an oxygen source. Although N<sub>2</sub> pretreatment does not serve the function of an oxygen source under the ambient condition, the formation of RuO<sub>2</sub> is significantly observed in Ru-N. This indicates that O<sub>sub</sub> in Ru<sub>pristine</sub> can contribute to the formation of RuO<sub>2</sub> as an oxygen source, and the amount of O<sub>sub</sub> in Ru<sub>pristine</sub> is sufficient to form RuO<sub>2</sub> on the surface, even in an inert atmosphere. Furthermore, the Ru substrates that undergo the pretreatment process have different oxidation levels depending on the difference in the amount of oxygen under each pretreatment ambient condition; all of the pretreatment conditions eliminate available O<sub>sub</sub>.

The difference in the available O<sub>sub</sub> strongly affects film growth behavior during subsequent ALD processes. The thickness of the TiO<sub>2</sub> thin film deposited on various Ru substrates via ALD was measured, as shown in figure 2(a). Moreover, figure 2(b) reveals the differentiating growth per cycle ( $\Delta$ GPC) of the TiO<sub>2</sub> thin film as a function of the number of TiO<sub>2</sub> ALD cycles, which can be acquired from the data in figure 2(a). In the initial TiO<sub>2</sub> deposition stage,  $\Delta$ GPC is higher than that whereof the substrate is covered with the TiO<sub>2</sub> film. Notably, the  $\Delta$ GPC values of TiO<sub>2</sub> on the Ru substrates become identical at 0.02 nm/cycle after conducting 50 ALD cycles, wherein the thickness of the deposited TiO<sub>2</sub> is approximately 1.5 nm. However, at up to 50 ALD cycles, the  $\Delta$ GPC on Ru<sub>pristine</sub> is relatively higher than that on the pretreated Ru substrates (figure 2(b)), resulting in higher film thicknesses (figure 2(a)). The Ti-precursor-only sequence was performed as an ALD sequence consisting of TTIP feeding and purge of 0.5 and 21 s, respectively. Steady TiO<sub>2</sub> growth under the Ti-precursor-only condition was observed solely on the Ru<sub>pristine</sub> substrate (figure 2(c)). In the case of employing the pretreated Ru substrate, TiO<sub>2</sub> growth was saturated at a TTIP feeding of 30 cycles. Conversely, the  $\Delta$ GPC of TiO<sub>2</sub> at 50 cycles on Ru<sub>pristine</sub> exhibited a comparable value of 0.010 nm/cycle to that at 20 and 30 cycles of 0.017 and 0.020 nm/cycle, respectively (figure 2(d)).

Moreover, the morphology of TiO<sub>2</sub> thin films is strongly influenced by the chemistry of Ru substrates (figures 3, S2,



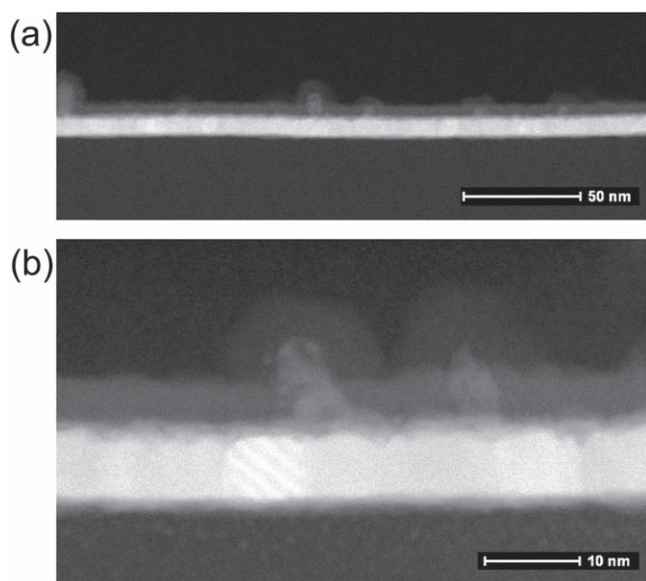
**Figure 2.** (a), (c) TiO<sub>2</sub> thin film thickness, and (b), (d) differentiating growth rate ( $\Delta$ GPC) as a function of cycle number of (a), (b) TiO<sub>2</sub> ALD, and (c), (d) Ti-precursor-only feeding sequence.



**Figure 3.** Peak-to-valley roughness ( $R_{p,v}$ ) of TiO<sub>2</sub> thin film deposited on various Ru substrates as a function of TiO<sub>2</sub> ALD and Ti-precursor-only sequence cycle number.

and table S1). The AFM analyses reveals that the pre-treatment process does not induce morphological degradation (figures S2(a)–(d)). Whereas no morphological degradation was observed in the case of employing pretreated Ru substrates, severe degradation was evident when the TiO<sub>2</sub> thin

film was deposited on the Ru<sub>pristine</sub> substrate (closed square in figures 3 and S2(e)–(h)). This degradation in roughness increases with the TiO<sub>2</sub> ALD cycle number up to 50 cycles, whereby an approximately 2 nm thick TiO<sub>2</sub> thin film is deposited. The observation of distinctive behaviors, higher  $\Delta$ GPC, and severe morphological degradation in the initial stage only on Ru<sub>pristine</sub> implies that O<sub>sub</sub> in Ru plays a key role in the chemical reaction with Ru substrate, TTIP, and O<sub>3</sub> during subsequent TiO<sub>2</sub> ALD processes in the early stage [37]. To comprehensively clarify the chemical reaction during TiO<sub>2</sub> ALD processes, the  $\Delta$ GPC enhancement and morphological degradation in the initial stage under the conditions of the Ti-precursor-only and O<sub>3</sub>-only sequences were examined. In case of the Ti-precursor-only sequence, only the severe morphological degradation was observed in the case of employing the Ru<sub>pristine</sub> substrate, as well (opened figures 3(c)–(g) and S2(i)–(l)). In the case of performing the Ti-precursor-only sequence, the  $\Delta$ GPC enhancement and morphological degradation exhibited identical behaviors, as shown in the initial stage of the TiO<sub>2</sub> ALD. Furthermore, the morphological degradation under the O<sub>3</sub>-only feeding condition was observed in Ru<sub>pristine</sub> and Ru<sub>-H</sub>, but not in Ru<sub>-O</sub> and Ru<sub>-N</sub> (figures S2(m)–(p)). The substrate was covered with rod-shaped protrusions with heights of ~100–200 nm in the



**Figure 4.** TEM image of an 8 nm thick TiO<sub>2</sub> thin film deposited on the Ru<sub>pristine</sub> substrate in: (a) a low magnification and (b) a high-resolution mode.

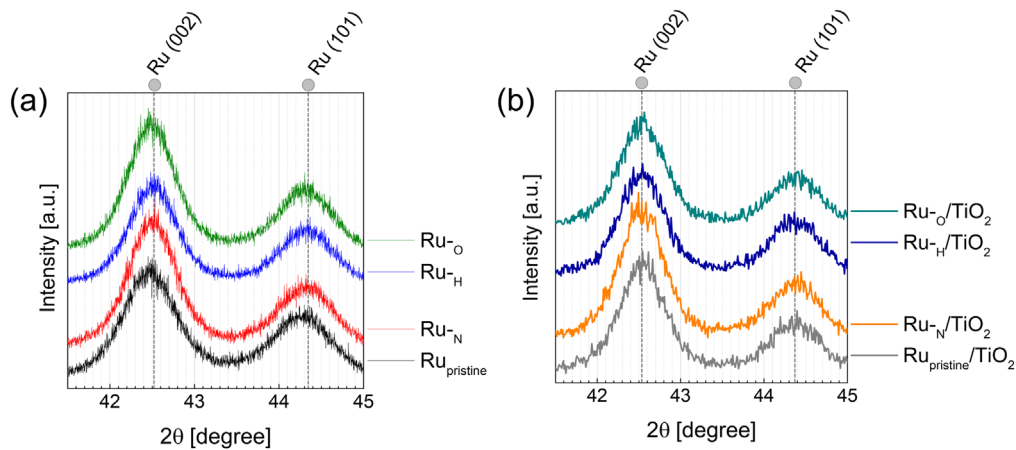
case of Ru<sub>pristine</sub> and Ru<sub>H</sub>. The protrusion was also observed on the Ru<sub>N</sub> and Ru<sub>O</sub> substrates, although its number was significantly lower than that of Ru<sub>pristine</sub> and Ru<sub>H</sub>. The only difference between Ru<sub>pristine</sub> and Ru<sub>H</sub>, and Ru<sub>O</sub> and Ru<sub>N</sub> is that the metallic Ru constituent in the substrate is either dominant or otherwise.

To clarify the origin and formation mechanism of the protrusion, the microstructure of the cross section of the TiO<sub>2</sub>-deposited Ru substrate was investigated via TEM. Figure 4 shows that the protrusions are observed on the surface. From the magnified image of the protrusion (figure 4(b)), it is revealed that the protrusion consists of RuO<sub>2</sub> growing from the Ru substrate, and the growth of the protrusion occurs on the grain boundary of the Ru substrate, while the protrusion has a specific growth direction, perpendicular to the surface. This peculiar RuO<sub>2</sub> protrusion growth behavior on the Ru substrate is consistent with previous report on the nanocolumn structure formation of RuO<sub>2</sub> on Ru substrate [44]; the Ru substrate is covered with very thin RuO<sub>x</sub>, exhibiting strong RuO<sub>2</sub> nanocolumn anisotropic growth with an abnormal aspect ratio of 1:3, and preferred growth direction of [110], which has the lower energy. In this regard, it is discovered that the abnormal RuO<sub>2</sub> protrusion is formed during the subsequent ALD processes. Furthermore, the occurrence of the growth at the grain boundary, whereat the O<sub>sub</sub> concentration is higher than that in the other regions, implies that O<sub>sub</sub> is the oxygen source for the formation of the RuO<sub>2</sub> protrusion in this result. This well coincides with the above-mentioned results. The protrusion was not observed on the pretreated Ru substrates because of the decreased O<sub>sub</sub>, as shown in the XPS spectra. In the case of conducting the O<sub>3</sub>-only sequence, since only the metallic Ru can incorporate O<sub>sub</sub> from the O radical during O<sub>3</sub> pulse, only the Ru<sub>pristine</sub> and Ru<sub>H</sub> substrates exhibited severe protrusion formation. Moreover, the height of the protrusion in the Ti-precursor-

only sequence significantly increases to >40 nm at 50 cycles compared to that of the TiO<sub>2</sub> ALD of ~18 nm at 50 cycles, thereby indicating that an insufficient oxidation atmosphere might facilitate protrusion growth.

From the results shown in above, the protrusion formation during the TiO<sub>2</sub> ALD process from the low oxidation–reduction resistance of the Ru substrate would be explained through the following mechanism: During a subsequent process on the Ru substrate, O<sub>sub</sub> incorporated in the Ru substrate could form an oxygen-rich RuO<sub>x</sub> ( $2 < x < 3$ ) phase, followed by the formation of a stable RuO<sub>2</sub> thin film. However, under a specific low-temperature condition of under 275 °C or short oxidation duration, stoichiometric RuO<sub>2</sub> thin film formation is hindered, thereby resulting in the 3D columnar growth of RuO<sub>x</sub>. This condition is consistent with the TiO<sub>2</sub> ALD condition, with a process temperature of 250 °C and oxygen consumption by the chemisorbed TTIP precursor. In other words, the condition whereby O<sub>sub</sub> dominantly contributes to the oxidation reaction is that which can induce protrusion formation, i.e. the 3D columnar growth of RuO<sub>x</sub>. In this regard, the formation of protrusions during the TiO<sub>2</sub> ALD is observed in Ru<sub>pristine</sub>, which only has sufficient O<sub>sub</sub>. Additionally, the formation of protrusions becomes severe in the case of the Ti-precursor-only sequence, because the contribution of O<sub>sub</sub> is increased in this case. Moreover, the protrusion formation in the O<sub>3</sub>-only sequence would also be caused by the O<sub>sub</sub> contribution because the severe protrusion formation was observed in Ru<sub>pristine</sub> and Ru<sub>H</sub>, wherein the substrates consisted of metallic Ru. Indeed, all the Ru substrates, regardless of their pretreatment conditions, exhibit morphological degradation covered with columnar RuO<sub>x</sub> after conducting the O<sub>3</sub>-only sequence (figures S2(m)–(p)). Even in Ru<sub>O</sub> and Ru<sub>N</sub>, which have RuO<sub>2</sub> components in the substrate, severe morphological degradation by protrusion formation was observed.

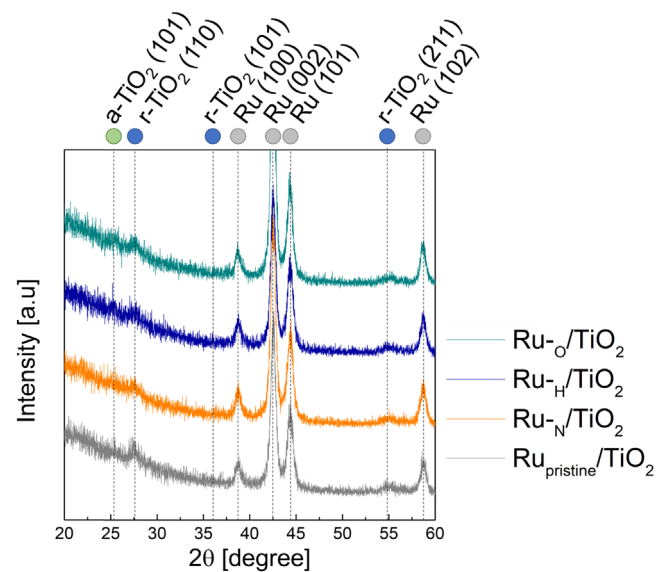
This indicates that the morphological degradation of the Ru-based substrate, Ru or RuO<sub>2</sub>, can occur under any circumstance regardless of the reducing or oxidizing atmosphere owing to its weak redox resistance property, thereby limiting the employment of Ru-based thin films as electrodes in various devices. Notably, the ability of the reducing atmosphere to also induce protrusion formation results in the morphological degradation of the Ru substrate in most of metal oxide ALD processes, wherein, a metal precursor is initially introduced on the substrate, and subsequently, the chemisorbed precursor induces a driving force of substrate reduction due to the formation energy of metal oxides. In contrast to the other substrates, Ru has an abundant amount of oxygen in its lattice as O<sub>sub</sub> [45] and relatively small formation energy of RuO<sub>2</sub> than that of most of metal oxides, thereby resulting in a vigorous reaction of the chemisorbed precursor and oxygen from the substrate. Therefore, it is strongly postulated that the morphological degradation during subsequent TiO<sub>2</sub> ALD processes is related to an O<sub>sub</sub> reaction in the reducing atmosphere by chemisorbed TTIP. The Ru substrate without any treatment (Ru<sub>pristine</sub>) has a polycrystalline structure, and O<sub>sub</sub> is present at a certain region right beneath the Ru grain surface. Where TTIP is chemisorbed on the Ru substrate



**Figure 5.** XRD patterns of (a) Ru substrates, and (b) 10 nm thick TiO<sub>2</sub>/Ru stacks.

surface, O<sub>sub</sub> is extracted from the Ru grain to react with chemisorbed TTIP, which induces GPC enhancement in the initial stage of the TiO<sub>2</sub> ALD process. This result corroborates the near-identical morphological degradation behavior observed in both of the Ti-precursor-only and TiO<sub>2</sub> ALD processes. Consequently, it is revealed that the oxygen source feeding step in the ALD sequence does not significantly affect the formation of columnar RuO<sub>x</sub> growth.

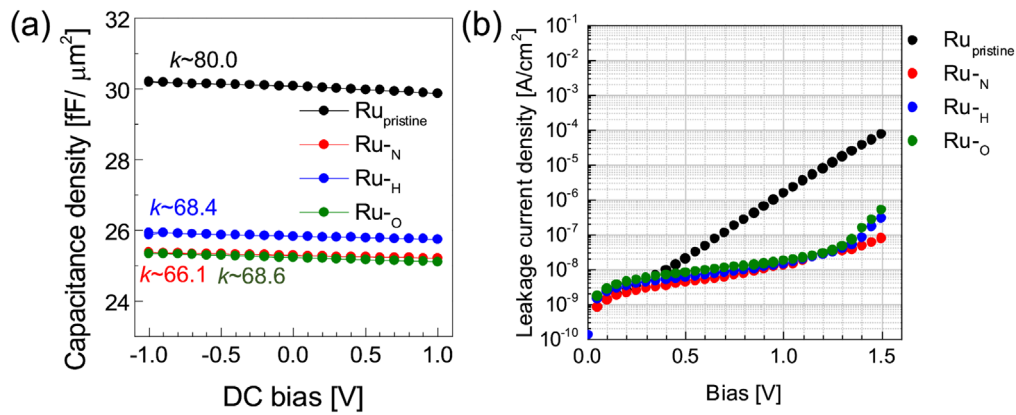
In this regard, suppressing RuO<sub>x</sub> formation by adjusting the ALD process condition would be ineffective. As mentioned above, RuO<sub>x</sub> formation is governed by process temperature and O<sub>sub</sub> concentration. Between them, a higher process temperature is preferable to prevent RuO<sub>x</sub> formation; however, it is difficult to change within a specific ALD process, which is governed by the chemistry of the Ti precursor. Furthermore, increasing the process temperature would induce the thermal decomposition of the precursor or chemical vapor deposition-like reaction with chemisorbed precursor and O<sub>sub</sub>. Accordingly, eliminating O<sub>sub</sub> would be the most effective way to hinder RuO<sub>x</sub> formation. Notably, the O<sub>sub</sub> concentration was dramatically decreased after conducting pretreatment, as confirmed by XPS analysis (figure 1); thus, inducing the suppression of the RuO<sub>x</sub> formation successfully. Moreover, a d-spacing of the Ru lattice from XRD analysis (figure 5) also indicates the change in O<sub>sub</sub> in the Ru substrates [37] with respect to the pretreatment ambient condition. O<sub>sub</sub> in Ru expands the Ru lattice, thereby causing a decrease in the 2θ value of the peak corresponding to the Ru lattice. As shown in figure 5(a), Ru-N and Ru-H substrates exhibit relatively higher 2θ than that of Ru<sub>pristine</sub>, i.e. they have relatively lower d-spacing, indicating the elimination of the O<sub>sub</sub> in the pretreated Ru substrates. Consequently, the severe protrusion formation by conducting TiO<sub>2</sub> ALD and the Ti-precursor-only feeding process was not observed in the pretreated Ru substrates. However, eliminating O<sub>sub</sub> does not guarantee the suppression of protrusion formation. In the case of the O<sub>3</sub>-only feeding, severe protrusion formation was observed on Ru<sub>pristine</sub> as well as on Ru-H. This denotes that the re-incorporation of O<sub>sub</sub> into the Ru substrate should also be suppressed to avoid the contribution of RuO<sub>x</sub> formation by O<sub>sub</sub>. Notably, the d-spacing values



**Figure 6.** XRD patterns of 20 nm thick TiO<sub>2</sub> thin films deposited on various Ru substrates.

from all the Ru substrates became identical to a 2θ of 42.5° after conducting the deposition process of TiO<sub>2</sub> of 10 nm, meaning that O<sub>sub</sub> participated as an oxygen source on the subsequent TiO<sub>2</sub> thin film deposition process via ALD.

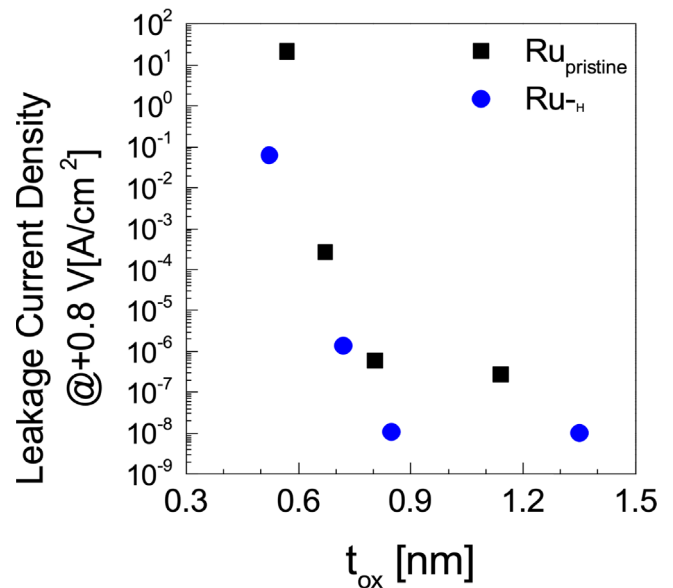
Furthermore, the characteristics of the Ru substrates as the electrode for TiO<sub>2</sub> thin film have been evaluated. As the electrode for the TiO<sub>2</sub> thin film, demonstrating the rutile crystal structure of TiO<sub>2</sub> by the epitaxial growth behavior with the help of RuO<sub>2</sub> formation is required. To confirm the crystal structure of the TiO<sub>2</sub> thin film deposited on the Ru substrates, XRD analysis was conducted. As shown in figure 6, it is confirmed that the TiO<sub>2</sub> thin film deposited on the Ru substrates was well crystallized to the rutile phase without any contribution from the anatase phase. This result indicates that the RuO<sub>2</sub> layer to induce the rutile crystal structure of deposited TiO<sub>2</sub> is sufficiently formed regardless of the chemical state of the Ru substrates. Notably, the Ru-H substrate also achieves the formation of the rutile phase, implying that RuO<sub>2</sub> can be formed during the subsequent oxide ALD process even though the substrate does not have



**Figure 7.** (a)  $C$ - $V$  and (b)  $J$ - $V$  curves of the MIM capacitor consisting of a 24 nm thick  $\text{TiO}_2$  with various Ru substrates.

$\text{O}_{\text{sub}}$  [35]. Furthermore, the electrical property of the MIM capacitor, which consisted of the Ru substrate and  $\text{TiO}_2$  as the bottom electrode and insulator, respectively, was evaluated. Figure 7 shows the capacitance density versus dc bias ( $C$ - $V$ ) and leakage current density versus applied bias ( $J$ - $V$ ) curves of the MIM capacitor, which consists of 24 nm thick  $\text{TiO}_2$  with various Ru substrates. From the  $C$ - $V$  measurement, the  $\text{TiO}_2$  thin films deposited on all the Ru substrates exhibited consistency in their  $k$  value with rutile-phased  $\text{TiO}_2$ . However, the  $\text{TiO}_2$  on  $\text{Ru}_{\text{pristine}}$  has a higher value of  $\sim 80$  than that from the pretreated Ru substrates of  $\sim 68$ . This implies that  $\text{O}_{\text{sub}}$  might contribute to the formation of  $\text{RuO}_2$ , thereby subsequently enhancing the crystallinity of the deposited  $\text{TiO}_2$  thin film to the rutile phase. The leakage current density level is significantly reduced to approximately two orders of magnitude from the  $\text{Ru}_{\text{pristine}}$  of  $1.47 \times 10^{-6}$  to  $\text{Ru}_{\text{N}}$ ,  $\text{Ru}_{\text{H}}$ , and  $\text{Ru}_{\text{O}}$  of  $1.32 \times 10^{-8}$ ,  $1.47 \times 10^{-8}$ ,  $1.74 \times 10^{-8} \text{ A} \cdot \text{cm}^{-2}$ , respectively, at an applied bias of 1.0 V. This leakage current value difference is consistent with the morphology of the  $\text{TiO}_2$  thin film. Figure S3 shows the AFM image of a 20 nm thick  $\text{TiO}_2$  thin film on various Ru substrates. In the case of  $\text{Ru}_{\text{pristine}}$ , severe protrusion formation is observed during the  $\text{TiO}_2$  ALD process, as shown in high root mean square roughness ( $R_q$ ) and peak-to-valley roughness ( $R_{\text{p-v}}$ ) values of 1.423 and 14.3 nm, respectively. Conversely, the pretreated Ru substrates exhibited ameliorated roughness, especially in the case of  $\text{Ru}_{\text{H}}$ ,  $R_q$  and  $R_{\text{p-v}}$  were reduced to 0.7944 and 6.30 nm, respectively. The XPS analysis shows that the  $\text{Ru}_{\text{O}}$  and  $\text{Ru}_{\text{N}}$  substrates are a mixture of Ru and  $\text{RuO}_2$ , which is unfavorable for use as the bottom electrode because of the vigorous redox reaction would occur during the ALD process owing to the catalytic effect of Ru on the reduction of  $\text{RuO}_2$  [43]. For the  $\text{Ru}_{\text{H}}$  substrate, the leakage current density is sufficiently low, which implies that any Ru substrate degradation during the  $\text{TiO}_2$  ALD process is induced. Consequently, pretreatment effectively reduces the leakage current density by enhancing morphology, as well as relatively induces less crystallization by suppressing  $\text{RuO}_2$  formation.

Finally, the leakage current density versus equivalent oxide thickness ( $J$ - $t_{\text{ox}}$ ) curve for the  $\text{TiO}_2$  thin film with varied thicknesses of 10–20 nm deposited on  $\text{Ru}_{\text{pristine}}$  and



**Figure 8.**  $J$ - $t_{\text{ox}}$  plot of the MIM capacitor with various thicknesses of  $\text{TiO}_2$  thin films deposited on the  $\text{Ru}_{\text{pristine}}$  and  $\text{Ru}_{\text{H}}$  substrates.

$\text{Ru}_{\text{H}}$  were evaluated (figure 8). As confirmed in previous results, the  $\text{TiO}_2$  thin film deposited on the  $\text{Ru}_{\text{H}}$  substrate exhibited a relatively reduced capacitance density compared with the  $\text{Ru}_{\text{pristine}}$  substrate (figure S4(a)). However, the capacitance density of the  $\text{TiO}_2$  thin film on the  $\text{Ru}_{\text{H}}$  substrate exhibited a higher value than that of  $\text{Ru}_{\text{pristine}}$  in the case of the 10 nm thick  $\text{TiO}_2$  thin film. This is because a too leaky  $\text{TiO}_2$  thin film on  $\text{Ru}_{\text{pristine}}$  degrades capacitance measurement. Notably, the dielectric loss exhibited an excessively high value of  $>0.1$ . Moreover, the leakage current density value was also too high, as shown in figure S4(b). For the leakage current density, the  $\text{TiO}_2$  thin films deposited on  $\text{Ru}_{\text{pristine}}$  exhibited a higher value than those deposited on  $\text{Ru}_{\text{H}}$ . Moreover, as the thickness of the  $\text{TiO}_2$  thin film decreased, the deterioration in the leakage current density of  $\text{TiO}_2$  on  $\text{Ru}_{\text{pristine}}$  became worse than that on  $\text{Ru}_{\text{H}}$ . Eventually, even though the  $\text{TiO}_2$  thin film on  $\text{Ru}_{\text{pristine}}$  had a relatively higher  $k$  value, the effective  $t_{\text{ox}}$  scaling of the  $\text{TiO}_2$  thin film was achieved with the  $\text{Ru}_{\text{H}}$  substrate owing to the leakage current density reduction.

## 4. Conclusion

We investigated the change in the chemistry of the Ru electrode due to the subsequent processes to suppress protrusion formation, which occurred in the as-deposited Ru electrode that had a sufficient  $O_{\text{sub}}$  concentration during the  $TiO_2$  ALD process. The  $O_{\text{sub}}$  incorporation into the Ru substrate was affected by the ambient conditions of the pretreatment process. It was revealed from the experiments using the Ti-precursor-only and  $O_3$ -only feeding sequences that repeating the redox reaction by precursor and oxygen source feeding in the subsequent ALD process causes protrusion formation. The TEM results revealed that the protrusion consisted of  $RuO_2$  achieved from the reaction of Ru and  $O_{\text{sub}}$ . In this regard, the pretreatment under the  $N_2 + H_2$  ambient condition led to successful suppression of protrusion formation. This imparts higher electrical properties on  $TiO_2$  deposited on the pretreated Ru electrode. Therefore, it is established that eliminating  $O_{\text{sub}}$  from Ru is the most effective way to apply the Ru substrate in practical electronic devices.

## Acknowledgments

This work was supported by the Technology Innovation Program (No. 20003555) funded by the Ministry of Trade, Industry & Energy (MOTIE, Korea) and the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (No. 2018R1C1B5045854). W Jeon also acknowledges financial support by Samsung Advanced Institute of Technology, and Kyung Hee University in 2020 (No. KHU-20201107).

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